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COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/653,163	09/01/2000	Hiroshi Mikitani	KAK-001

23353  
RADER FISHMAN & GRAUER PLLC  
LION BUILDING  
1233 20TH STREET N.W., SUITE 501  
WASHINGTON, DC 20036



**FORMALITIES LETTER**



\*OC000000005506120\*

Date Mailed: 10/26/2000

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

**FILED UNDER 37 CFR 1.53(b)**

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
  
- The balance due by applicant is \$ 65.

*A copy of this notice **MUST** be returned with the reply.*

*m. middleton*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

12/11/2000 SDENB0B1 00000010 180013 09653163

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*Sector*  
*J*  
*JJ3*

Attorney Docket No. KAK-001

In re patent application of  
MIKITANI et al.

Serial No. 09/653,163

Filed: September 1, 2000

Title: LOTTERY SYSTEM UTILIZING ELECTRONIC MAIL



Group Art Unit: 2151

Examiner: unassigned

**TRANSMITTAL**

ASSISTANT COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

Sir:

Enclosed are:

- PTO-1533
- An assignment of the invention to Rakuten Inc.
- Return receipt of postcard.
- Charge \$65.00 Deposit Account No. 18-0013 to cover the surcharge fees. A duplicate copy of this sheet is enclosed.
- The Commissioner is hereby authorized to charge any fees under 37 C.F.R. 1.16 or 1.17 which may be required during the entire pendency of this application, or to credit any overpayment, to Deposit Account No. 18-0013. A duplicate copy of this sheet is enclosed.
- Original of Japanese priority application(s).
- Charge \$ to Deposit Account No. 18-0013 to cover the recordal fee. A duplicate copy of this sheet is enclosed.

- Applicant's undersigned attorney may be reached by telephone in our Washington D.C. Office at

(202) 955-3750.

All correspondence should be directed to our below listed address.

*Christopher M. Tanner*

Christopher M. Tanner  
Reg. No. 41,518

Date: December 8, 2000

RADER, FISHMAN & GRAUER, P.L.L.C  
1233 20<sup>th</sup> Street, NW, Suite 501  
Washington, DC 20036  
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Attorney Docket No. KAK-001

In re patent application of  
MIKITANI et al.

Serial No. 09/653,163

Filed: September 1, 2000

Title: LOTTERY SYSTEM ~~OPTIMIZING~~ ELECTRONIC MAIL



Group Art Unit: 2151

Examiner: unassigned

FF3

**RESPONSE TO NOTICE AND  
SUBMISSION OF EXECUTED DECLARATION**

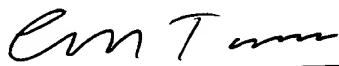
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application mailed October 26, 2000 (copy of which is returned herewith), attached hereto is a Declaration and Power of Attorney, duly executed by the inventor, referring to the serial number and filing date of the patent application identified above. Please charge Deposit Account No. 18-0013 in the amount of \$65.00 in payment of the surcharge for a small entity.

The Commissioner is hereby authorized to charge any additional fees associated with this communication or credit any overpayment to Deposit Account No. 18-0013. A duplicate copy of this letter is enclosed for that purpose.

Respectfully submitted,

  
\_\_\_\_\_  
Christopher M. Tanner  
Reg. No. 41,518

Date: December 8, 2000

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